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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.  
Application No. : 10/040,837 Confirmation No.: 2702  
Filed : January 7, 2002  
For : METHODS AND SYSTEMS FOR PROVIDING  
CROSSING MARKETS  
Group Art Unit : 2161

New York, New York  
April 7, 2003

Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

~~TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT~~

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

This Statement is submitted:

within three months of the application filing date;  
 more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

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Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Hassan Albakri  
(Limited Recognition)  
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ARLINGTON, VA 22202 on

April 7, 2003  
Claire J. Saintil-van Goodman  
Signature  
Signature of Person Signing

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to the  
following references:

US PATENTS

Lupien et al. Patent No. 6,098,051 Aug. 01, 2000  
Rickard et al. Patent No. 6,112,189 Aug. 29, 2000

Copies of the aforementioned references, which are  
listed on the accompanying Form PTO-1449 (submitted in  
duplicate), are enclosed herewith.

Applicants request that a copy of the Form PTO-1449,  
as considered and initialized by the Examiner, be returned  
with the next communication.

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Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Hassan Albakri  
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*April 7, 2003*  
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FORM PTO-1449   PATE	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF-51	APPLICATION NO. 10/040,837
	INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	APPLICANT Andrew C. Gilbert et al.	CONFIRMATION NO. 2702
		FILING DATE January 7, 2002	GROUP 2161

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

APR 16 2003

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**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2003

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline

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